Cataloging in Publication: Moving Beyond the Print

PTPL 90th Annual Meeting
Annapolis, Md.
October 17, 2014
Cataloging in Publication: Moving Beyond the Print

Caroline Saccucci
Acting CIP Program Manager
and Dewey Program Manager

Camilla Williams
CIP Program Specialist
CIP E-books Program

Background
Why CIP data for E-books?

- Service to libraries
- Service to publishers
- Records for print books used to create records for e-books
Record creation

- Provider-Neutral E-Monograph MARC Record Guide
  - Record based on info from original publisher
- E-book CIP data application form
  - Works with e-book record program
- Program adds variable and standard data elements to identify item as an e-book
Pilot publishers

- University Press of Mississippi
- Wiley-Blackwell, including Jossey-Bass
- World Bank
Thank you for applying to participate in the ECIP Ebook Program. You will receive a confirmation email message shortly, with instructions on the application process and instructions on submitting ebooks to the Library of Congress.

Application to Participate (Ebooks)

Ebook Program Requirements

Publishers participating in the CIP Ebook Program are obligated to:

- Simultaneously publish print and electronic books
- Apply for CIP data for the print version of a title before applying for CIP data for the electronic version
- Apply for CIP data for the electronic version within ten business days of applying for the print version
- Include unaltered CIP data on the copyright page of both versions
- Certify that your publishing house markets ebooks to libraries
- Send a complimentary copy of the ebook to the Library of Congress in addition to a complimentary copy of the print book. The ebook must be an original format issued by the publisher for reading and must be free of digital rights management.

Failure to comply with any of these program requirements may result in the suspension or removal of your ECIP Ebook account.
E-books pilot launch

- Participating publishers agree to requirements
- Access to e-book application provided to participants on Oct. 11, 2011
CIP E-books Program

Metadata Workflow
Complete CIP application for print edition

CIP Data Application

Title Page Information

Data entered in these blocks must match the title page exactly:

Title: City Son
Subtitle: Andrew W. Cooper’s Impact on Modern-day Brooklyn
Edition: 
Publisher: University Press of Mississippi
U.S. City: Jackson

Author Information

First 3 authors, editors, etc. appearing on the title page:

1. First name: Wayne  Middle:  Last: Dawkins
## CIP Data Application for Ebooks

Below is a list of CIP data applications your firm has submitted recently. To apply for the CIP data of an Ebook, please click on the selected title and then fill out the application form.

<table>
<thead>
<tr>
<th>Title</th>
<th>ISBN</th>
<th>Date Submitted</th>
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<td>21 DEC 2011</td>
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<td>Hard Times</td>
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<td>Mommy's Little Helper</td>
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E-book application

CIP Data Application for Ebooks

Instructions

* indicates required field

Title Page Information

Title: City Son
Subtitle: Andrew W. Cooper's Impact on Modern-day Brooklyn
Print ISBN: 9781617032585
Projected publication date: Jun 2012

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Series Information

If title belongs to an ebook series different than the printed book, the exact series is:

Series number, exactly as it will appear in the book:
Traffic manager/record creation

- Unique LCCN assigned to the e-book application
- Program within the Traffic Manager collects LCCNs and data elements
- Sent outside the Traffic Manager to the record creation program
- Record creation program accesses catalog record for print version of title
- Adds LCCN assigned by the Traffic Manager
- Adds data elements for e-book
  - Standard data elements, such as “1 online resource” in physical description field
  - Variable data fields, such as ISBNs for the e-book
## Cataloging in Publication: Moving Beyond the Print

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E-book version record, pt. 1

MARC Record:

Supress from OPAC

Leader:

01923cam a22004578i 4500 005|20140903173231.0 008|130121 s 2014 BXU b o _0 0 0 1 _ eng _

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042 |  |  | ‡a lcac ‡a pcc
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082 | 0 | 0 | ‡a [E] ‡2 23
100 | 1 |  | ‡a Sommer, Carl, ‡d 1930-
245 | 4 |  | ‡a The ugly caterpillar / ‡c by Carl Sommer ; illustrated by Greg Budwine.
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263 |  |  | ‡a 1403
264 | 1 |  | ‡a Houston : ‡b Advance Publishing, Inc., ‡c 2014.
300 |  |  | ‡a 1 online resource.
### E-book version record, pt. 2

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CIP data for e-books

Library of Congress Cataloging-in-Publication Data

Sommer, Carl, 1930-
   The ugly caterpillar / by Carl Sommer ; illustrated by Greg Budwine. -- First edition.
   1 online resource. -- (Another Sommer-time story)
"Revised edition originally published in 2000"--Title page verso.
   Summary: "Speckles and Crumbs think Katy the caterpillar is too ugly to be their friend, but not Annie. Katy is transformed into a beautiful butterfly. Speckles and Crumbs finally learn about true beauty and friendship"-- Provided by publisher.
   Description based on print version record and CIP data provided by publisher; resource not viewed.
PZ7.S696235
[E]--dc23

2013002570
MARC records during the pilot

- Review records during pilot phase
- Identify and correct errors (e.g., 776 field)
- Send CIP data to publishers via email
- Distribute records to OCLC and other MARC customers
- Suppress from the LC OPAC
Metadata in Production

• Moved pilot into production in August 2012
• Announced to community/solicit more publishers to join
• E-book publishers as of FY2014: 143
• E-book metadata as of FY2014: 5,092
• Began transfer of published e-books to LC
• Met with internal technical e-books group to discuss receipt, storage, and availability of e-books to internal users at LC
CIP E-books Program

Receiving and Processing
Technical issues

- Ingestion process
  - Information Technology Services (ITS)
  - Repository Development Center (RDC)
- Pilot publishers
  - University Press of Mississippi
  - Wiley-Blackwell, including Jossey-Bass
  - World Bank
Establishing publisher accounts

- SFTP
- Development of publisher account forms
  - Contact information
  - IP address
- Content provider vs. content sender
- File paths and directories
Repository Services

- Content transfer
- Web-based in-house developed software
- Moves files from various locations to long-term storage
- Used by many projects in LC
- Modified to be used by the CIP E-books Program
E-book Processing

- CIP E-book check-in manager
Check-in page

Checkin [Incomplete] for 9780821394625-20140917101929 CIP

Update Comments Details

Instructions

CIP Checkin Manager
1. Select name from drop down menu.
2. Click on "Reassign".

CIP Check-in Staff
1. Click on "View E-book".
2. Please browse the delivery to identify the epub file and compare that LCCN and title match the e-book record in Voyager. Update any information on Voyager record, if needed.
3. View book cover: Ensure that the cover image is viewable.
4. Scroll through e-book to ensure that the book is complete and all pages are viewable.
5. If any problems, please either click arrow beside "accept conditions" select reason or click arrow beside "reject reasons" and select reason. Please notify publisher.
6. When everything is complete:
   ○ Scroll down to select either "accept" (if everything matches) or "accept with conditions" to accept e-book. Or,
   ○ Scroll down to select "reject" to reject e-book.
7. Click "Continue."

Task Information

ebook filepath data/9780821394625.epub
service provider Code Mantra
publisher World Bank

Download ebook View ebook
Viewing the e-book

- Internal web viewer

Title Page

DIRECTIONS IN DEVELOPMENT
Human Development

Working through the Crisis
Jobs and Policies in Developing Countries during the Great Recession

Arup Banerji, David Newhouse, Pierella Paci, and David Robalino, Editors

THE WORLD BANK
Washington, D.C.
Navigating the e-book
Viewing the CIP data block

DOI: 10.1596/978-0-8213-8967-6

Cover photo: James Oatway / Panos Pictures. Used with permission; further permission required for reuse. Cover design: Naylor Design.

Library of Congress Cataloging-in-Publication Data

Working through the crisis: jobs and policies in developing countries during the great recession / editors, Arup Banerji, David Newhouse, Pierella Paci, and David Robalino.

pages cm.—(Directions in development)
Includes bibliographical references.

HD5706.W675 2013
331.1209172 4090511—dc23 2013033568
# Check-in page

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*Workflow comment is optional.*
Accepting or rejecting the e-book

- **Accept**
- **Accept with conditions**

- **Reject**
Ingest in Production

- Moved pilot into production in July 2014
- Added 10 publishers
  - Advance
  - Common Ground
  - John Benjamins
  - New York Review Books
  - Oxbow
  - Pauline Books and Media
  - Paulist Press
  - University Press of Mississippi
  - Wiley-Blackwell
  - World Bank
- Accepts epub and pdf formats
- Received 67 e-books
- The Ugly Caterpillar
The CIP E-books Program

Next Steps
Next Steps

- Include more publishers and content
- Develop a composite CIP data block
- Streamline the application process
- Include backlisted and born-digital e-book titles
- Provide access to the e-books to readers
Thank You!

http://www.loc.gov/publish/cip/ebooks
Caroline Saccucci  csus@loc.gov
Camilla Williams  cewi@loc.gov